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PATENT

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Susan M. Barry

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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Lee Nadler et al.

Art Unit:

Not Yet Assigned

Serial No.:

09/830,400

Examiner:

Not Yet Assigned

Filed:

April 25, 2001

Customer No.:

21559

Title:

Cancer Immunotherapy and Diagnosis Using Universal Tumor

Associated Antigens, Such as the Telomerase Catalytic Subunit (hTERT),

and Methods for Identifying Universal Tumor Associated Antigens

Assistant Commissioner For Patents Washington, DC 20231

## INFORMATION DISCLOSURE STATEMENT

Applicants submit the references listed on the enclosed Form PTO-1449, copies of which are enclosed. Copies of search reports from a corresponding PCT application are also enclosed.

Submission of this statement is not a representation that a search has been made, nor is information included in this statement an admission that the information is material to patentability.

This statement is being filed within three months of the filing date of the application. If there are any other charges or any credits, please apply them to Deposit Account No. 03-2095.

Respectfully submitted,

Date: May 15, 2001

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Sheet 1\_ of SUBSTITUTE FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE Attorney Docket No. 50059/007002 (MODIFIED) PATENT AND TRADEMARK OFFICE Serial No. 09/830,400 Applicant Lee Nadler et al. INFORMATION DISCLOSURE STATEMENT BY APPLICANT Filing Date April 25, 2001 (Use several sheets if necessary) Group Not Yet Assigned (37 C.F.R. §1.98(b)) IDS Filed May 15, 2001 Customer No. 21559 **U.S. PATENTS** Examiner's Patent Number issue Date Patentee Class Subclass Filing Date Initials (If Appropriate) 5,679,647 10/21/97 Carson et al. 5,747,317 05/05/98 Cao 5,770,422 06/23/98 Collins FOREIGN PATENT OR PUBLISHED FOREIGN PATENT APPLICATION Examiner's Document Publication Country or Class Subclass Translation Initials Number Date Patent Office (Yes/No) OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PLACE OF PUBLICATION) Bohm et al., "Identification of HLA-A2-Restricted Epitopes of the Tumor-Associated Antigen MUC2 Recognized by Human Cytotoxic T Cells," International J. of Cancer 75:688-693 (1998). Brunette et al., "Immunotherapy (Cancer): "Tumor Antigen Specific Immunotherapy of Cancer: Peptide and Gene Based Modification of Dendritic Cells as Antigen Presenting Cells," Cancer Biotechnology Weekly p16 (1996).Celis et al., "Identification of Potential CTL Epitopes of Tumor-Associated Antigen MAGE-1 for Five Common HLA-A Alleles," Molecular Immunology 31:1423-1430 (1994). Herlyn et al., "Epitope- and Antigen-Specific Cancer Vaccines," International Review of Immunology 7:245-257 (1991).**EXAMINER** DATE CONSIDERED EXAMINER: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with the next communication to applicant.

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PATENT TRADEMARK OFFICE